



## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/821,403	04/08/2004	James M. Helt	11909-4

00757  
 BRINKS HOFER GILSON & LIONE  
 P.O. BOX 10395  
 CHICAGO, IL 60610

CONFIRMATION NO. 8421

## FORMALITIES LETTER



\*OC000000013037911\*

Date Mailed: 06/23/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 385 to complete the basic filing fee for a small entity.*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$117 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$567 for a Small Entity

- \$385 Statutory basic filing fee.
- \$65 Late oath or declaration Surcharge.

- Total additional claim fee(s) for this application is \$117

10/29/2004 MBELETE1 00000005 10821403

02 FC:2001  
 03 FC:2051  
 04 FC:2202

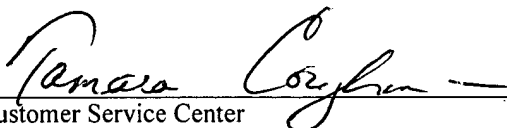
395.00 OP  
 65.00 OP  
 117.00 OP

- \$117 for 13 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: M.S. - Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

10/25/04  
Date of Deposit

Jonathan M. Blanchard, Ph.D.

Name

Signature

**Our Case No. CMD01-001-US**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

James M. Helt

Serial No. 10/821,403

Filing Date: April 8, 2004

For FABRICATION OF NANOSTRUCTURES

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)  
) Customer Service Center  
) Initial Patent Examination Division  
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**RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

M.S. - Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:


In accordance with the Notice to File Missing Parts of Nonprovisional Application dated June 23, 2004, enclosed herewith for filing are the following documents:

- ☒ Credit Card Payment Form \$792.00 (filing fee of \$395.00, additional claim fees \$117, surcharge of \$65.00 and two month extension of time \$215.00)
- ☒ Petition for Two Month Extension of Time (in duplicate)
- ☒ Copy of Original Notice to File Missing Parts of Nonprovisional Application
- ☒ Fully executed Declaration and Power of Attorney for Patent Application for the above-referenced patent application
- ☒ Change of Correspondence Address

Statutory Basic Filing Fee	395.00
Extra Claims over 20 (13 x \$9)	117.00
Extra Independent Claims over 3 ( x \$ )	
Oath or Declaration Surcharge	65.00
Total	577.00

- ☒ The Commissioner is hereby authorized to charge any fees associated with this communication not covered by check or credit card payment or credit any overpayment to Deposit Account No. 50-3123. A duplicate copy of this sheet is attached.

Respectfully submitted,

  
Jonathan M. Blanchard, Ph.D.  
Registration No. 48,927

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